



PATENT #3

Case Docket No. INARI.053A

Date: October 8, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : David M. Arnesen

App. No. : 09/883,834

Filed : June 18, 2001

For : SLIDING-WINDOW  
TRANSFORM WITH  
INTEGRATED  
WINDOWING

Group Art Unit : 2661

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

October 8, 2001

(Date)

*Lee W. Henderson*  
Lee W. Henderson Ph.D., Reg. No. 41,830

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on August 6, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) 25 pages of drawings.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

PATENT

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FILING FEE		\$ 740
FEE FOR 6 TOTAL CLAIMS OVER 20		\$ 108
FEE FOR 1 INDEPENDENT CLAIM OVER 3		\$ 84
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$ N/A
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 1062
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - N/A		
TOTAL FEES SUBMITTED HERewith		\$ 1062

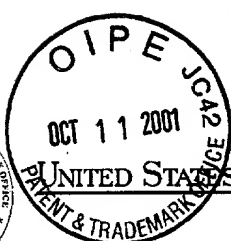
- (X) A check in the amount of \$1062.00 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Lee W. Henderson Ph.D.

Registration No. 41,830

Attorney of Record



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,834	06/18/2001	David M. Arnesen	INARI.053A

CONFIRMATION NO. 9965

 20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 620 NEWPORT CENTER DRIVE  
 SIXTEENTH FLOOR  
 NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC000000006385346\*

Date Mailed: 08/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$188.
  - \$108 for 6 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1028.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/15/2001 MBELETE1 00000047 09883834

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	84.00 OP
04 FC:103	108.00 OP

*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to be 'Z. J. R.', written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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